

Client Relationship Summary

December 8, 2023

TradePro Securities Inc. is registered with the Securities and Exchange Commission (“SEC”) as a broker-dealer. Brokerage and investment advisory services and fees differ, and it is important for you to understand the differences. This Client Relationship Summary provides details about our brokerage services, fees, and other important information.

Free and simple tools are available for investors to research firms and financial professionals at <https://brokercheck.finra.org/>

What investment services and advice can you provide me?

TradePro Securities Inc. is a traditional broker dealer providing routing and execution services to retail and institutional clients. Routing and execution services unlike advisory services are used by clients to efficiently execute their order-flow based on cost and destination. TradePro does not offer traditional brokerage services such as research, trade desk support, or registered sales individuals. Advisory firms, unlike broker dealers specialize in helping clients determine their goals and objectives and establish the investment plan and portfolio.

Clients should carefully consider their needs and goals and may want to consider investment advisors or certified financial planner when establishing goals and portfolio selection.

The Firm’s traditional clients are high net worth and experienced investors, funds and other broker dealers. Our clients maintain portfolios comprising of equity and option positions and range from day traders to long term investors. The Firm does not provide recommendations. All investment decisions are ultimately made by the client.

Our supervisory reviews include the following to ensure that our client’s best interests are monitored and protected:

- The Firm does not permit discretionary accounts, and all clients make the ultimate decisions regarding the purchase or sale of any security.
- The Firm offers no proprietary investment products.
- The Firm does not offer sales incentives or promotions.

For additional information, please contact compliance at info@tradeprosecurities.com

Conversation Starter –

- **Who is my primary contact person? Is he or she a representative of an investment adviser or broker-dealer? Who can I talk to if I have concerns about how this person is treating me?**

The Firm does not provide client account representatives, the firm only supports self-directed trading. If there are questions or issues with a client account contact: support@tradeprosecurities.com. Clients can be assigned a contact person upon opening an account, as noted earlier all our representatives are broker dealer employees and do not act as investment advisors. All Firm support staff are FINRA registered individuals.

What fees will I pay?

Conversation Starter –

- **Help me understand how these fees and costs might affect my investments. If I give you \$10,000 to invest, how much will go to fees and costs, and how much will be invested for me?**

The Firm does not manage money or accept client funds.

Our commissions charged range from zero commissions for self-directed electronic trading, to 2% plus, for more sophisticated products. Commissions are charged at the time of the consummated trade. Commissions and fees on investment banking and corporate service activities are determined on a case-by-case basis and directly negotiated with the client.

The Firm believes that all investors should have the opportunity to save and invest. Therefore, the Firm requires no account opening charges, no monthly minimum and low-cost trading.

You may pay fees and costs whether you make or lose money on your investments. Fees and costs will reduce any amount of money you make

on your investments over time. Please make sure you understand what fees and costs you are paying.

What are your legal obligations to me when providing recommendations? How else does your firm make money and what conflicts of interest do you have?

We do not solicit trades or provide recommendations; we simply assist our clients with routing and execution services. The Firm policy of no solicitation, no proprietary investment products, no sales incentives or promotions help eliminate potential conflicts of interest and ensure that a client's best interests come first. All clients maintain self-directed trading accounts.

The Firm may receive payment for order flow or other compensation, these payments could be perceived as a conflict of interest. The Firm ensures that the client receives the best possible execution price given their objectives. These payments and compensation arrangements to the Firm often reduce or eliminate additional pass-thru executions charges. Any reductions in fees or costs will benefit the clients long term rate of return.

For further information concerning potential conflicts of interest or any concerns about a representative of the Firm, please contact Claudette Gay, director of compliance at 305-446-4800.

Conversation Starter –

- **How might your conflicts of interest affect me, and how will you address them?**

Do you or your financial professionals have legal or disciplinary history?

Yes.

You can visit FINRA broker check (www.finrabrokercheck) for a free and simple search tool to research our firm and financial professionals' regulatory history.

For additional information about TradePro Securities Inc., or to request a copy of this relationship summary please contact the Firm at info@tradeprosecurities.com. You may also call 305- 446-4800 to request up to date information or a copy of this relationship summary.

Conversation Starter –

- **As a financial professional, do you have any disciplinary history? For what type of conduct?**