



**340 Congress Pkwy.
Lawrenceville, GA 30044
305-446-4800**

IMPORTANT INFORMATION ABOUT PROCEDURES FOR OPENING A NEW ACCOUNT OR ESTABLISHING A NEW CUSTOMER RELATIONSHIP

To help the U.S. government fight the funding of terrorism and money laundering activities, Federal law requires all U.S. financial institutions to obtain, verify, and record information that identifies each individual or institution that opens an account or establishes a customer relationship with TradePro Securities, Inc.

What this means for you: If you enter into a new customer relationship with TradePro Securities, Inc. we will ask for the following information:

- Accredited Investors / Individuals
- Unexpired government-issued ID evidencing nationality or residency and bearing a photograph or similar safeguard (e.g., driver's license, passport, etc.).
- Other documents as may be required by our policies and procedures that allow us to establish a reasonable belief that we know the true identity of the customer.
- Non-Individuals
- Documents showing the existence of the entity, such as certified Articles of Incorporation, government-issued business license, Partnership Agreement, trust instrument, etc.
- This information will be used to verify your identity. As appropriate, we may, in our discretion, ask for additional documentation or information. If all required documentation or information is not provided, TradePro Securities, Inc. may be unable to open an account or establish a relationship with you